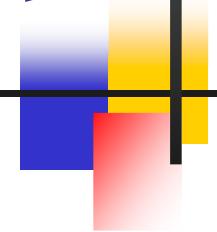


Update Regarding CAP-MR/DD Waivers Development



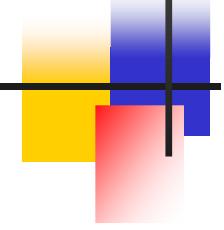
Legislative Oversight Committee

2-28-08

Rose Burnette

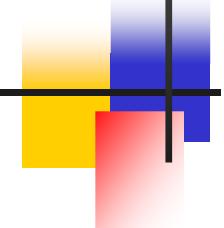
DMH-DD-SAS

Update Regarding CAP-MR/DD Waivers Development



- Four Tiered Waivers
 - More individualized service array
 - Serve more people
 - Manage costs

Update Regarding CAP-MR/DD Waivers Development



- Renewal of the existing waiver
- Submission of required components to CMS
 - Any new service definitions will require approval by PAG
- Rates will also have to be set for new services
- Currently projecting implementation of Tiered waivers between January – June, 2009, depending upon CMS approval.

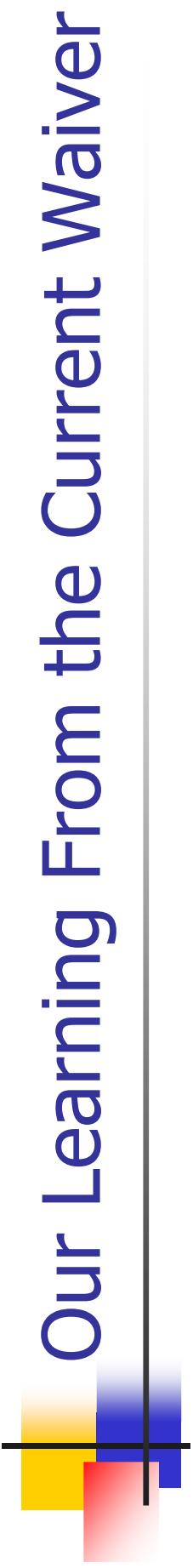


The Current CAP-MR/DD Waiver

- The Comprehensive Waiver
 - The current CAP-MR/DD Waiver
 - September 1, 2005 thru October 31, 2008
 - Focus on Person Centered Planning
 - Flexible service array

Positive Outcomes from the Comprehensive Waiver

- Greater flexibility in service delivery
- Historical increase in the number of individuals served
- Additional learning in how we can better serve individuals with developmental disabilities in NC



Our Learning From the Current Waiver

- Apply what we have learned:
 - Ability to self-direct services and manage an individual budget continues to be a priority.
 - There is a need for an assessment tool that more fully supports the person centered planning process.
 - Our plan of care format should be consistent with the system to simplify/streamline
 - Current service definitions do not fully address the needs of individuals with significant medical or behavioral challenges and do not provide the flexibility needed.
 - Data supports the need for a tiered waiver system; one size does not fit all.

Supports Intensity Scale (SIS)

- New assessment tool to support planning for people with developmental disabilities.
- Developed under the sponsorship of the American Association on Intellectual and Developmental Disabilities (AAIDD)
- Supports planners to comprehensively address intensity of support needs to insure full community inclusion.
- Not deficits driven but in line with the concepts of self-determination and individual choice.

Self Direction

- National Institute on Consumer Directed Long term Services at the National Council on Aging defined consumer / participant directions as:

A philosophy and orientation to the delivery of Home and Community based services whereby informed individuals assess their service needs, determine how and by whom these needs should be met and monitor the quality of services received.

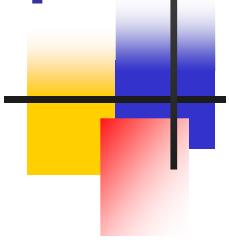
Self Direction

- Participants are able to control and direct Medicaid funds as identified within their individual budgets;
 - hire staff
 - dismiss staff
 - negotiate rate for support
- Individualized budgets will be determined based on a statistical model using information such as historical costs for supports and other variables including additional medical or behavioral supports needed etc.

Self Direction

- Freedom – to plan, to choose
- Authority- to determine how to control the supports
- Support- to determine the who, what and how of services
- Responsibility- to engage your community and to be accountable for spending public dollars
- Confirmation- to recognize that those who receive supports are the ones who know and should be the change agents

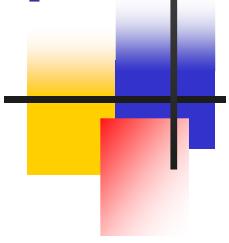
Proposed Framework for the Tiered Waivers



Tier #1: Supports Waiver

- For individuals who live on their own or with their family and who have a low intensity of support needs and/or have access to unpaid supports that can meet a substantial portion of their overall support needs.
- This waiver will support individuals whose needs can be met within the range of \$0 to \$25,000.
- This waiver will include a Tier specific service array designed to meet the needs of this population.
- Self-direction of services is an option in this waiver.

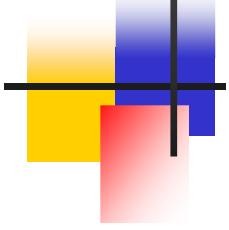
Proposed Framework for the Tiered Waivers



Tier #2: New Focus Waiver

- For individuals who live in their own homes, with their family, or in a congregate residential setting with no more than 4 beds.
- This waiver will support individuals whose needs can be met within the range of \$25,000+ to \$60,000.
- This waiver will include the current service array with some revisions.
 - Self-direction of services is an option in this waiver.

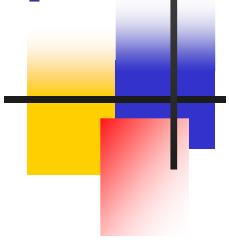
Proposed Framework for the Tiered Waivers



Tier #3 Comprehensive Waiver

- For individuals who live in their own home, with their family or in a congregate residential setting with no more than 4 beds.
- This waiver will support individuals whose needs can be met within the range of \$60,000+ to \$91,000.
- This waiver includes the current service array with revisions to include enhancement of services to meet the higher intensity of service need.
- Self-direction is not an option in this waiver.

Proposed Framework for the Tiered Waivers



Tier #4: Enhanced Waiver

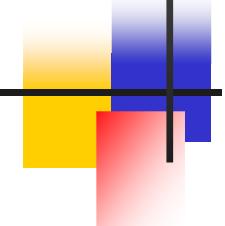
- For individuals who experience severe medical fragility, and/or severe behavioral challenges.
- This waiver is intended to support individuals whose needs can be met within the range of \$91,000+ to \$135,000.
- This waiver includes a specific service array designed to meet the medical and/or behavioral needs of this population.
- Self-direction is not an option in this waiver.

Transition

- Transition from the existing waiver to the 4 tiered waivers
 - Training prior to implementation
 - Information provided to the system
 - Case Managers meet with individual participants/families

Transition

- Transition continued...
 - Waiver selection is based on current Plan of Care
 - Does not include one-time costs
 - No reduction in services and supports



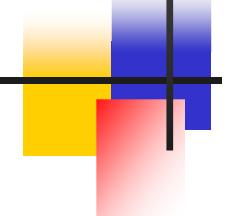
Training Plans

- Extensive training needs for all involved in the implementation of the waivers.
- Training plans will be developed with each waiver.
- Continue to assess work force needs.



Stakeholder Participation

- Potential Opportunities for involvement may include:
 - External Stakeholder Workgroups
 - Public forums
 - Video conferencing
 - DMH-DD-SAS website posting for review and comment
 - Monthly Implementation Updates



Send Us your comments:

Send emails regarding Waiver Specific feedback to:

Tiered.Waivers.Development@ncmail.net

Thank you!

■ Questions/Comments?